

10/560795

IAP20 Rec'd PCT/PTO 14 DEC 2005 PATENT

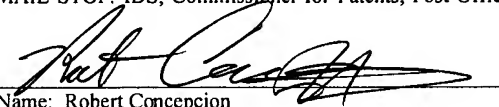
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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|------------------|--|-----------------|----------------|
| First Applicant: | CORTEN, Gustave Paul | Examiner: | To be assigned |
| Serial No. | To be assigned | Group Art Unit: | To be assigned |
| Filed: | December 14, 2005 | Docket No. | 72998-013700 |
| Title: | METHOD AND INSTALLATION FOR EXTRACTING ENERGY FROM A FLOWING FLUID | | |

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

Date of Mailing: December 14, 2005

I hereby certify that this correspondence and identified enclosures are being deposited with the United States Postal Service, first class mail, postage prepaid, under 37 CFR 1.8 on the date indicated, and addressed to MAIL STOP: IDS, Commissioner for Patents, Post Office Box 1450, Alexandria, Virginia 22313-1450.


Name: Robert Concepcion

INFORMATION DISCLOSURE STATEMENT [37 C.F.R. § 1.56]

MAIL STOP: IDS
Commissioner for Patents
Post Office Box 1450
Alexandria, Virginia 22313-1450

Sir/Madam:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicant brings the references listed on the attached Form PTO-1449 to the examiner's attention. 37 C.F.R. § 1.56. Do not construe the filing of this information disclosure statement as a representation that applicant has made a search (37 C.F.R. § 1.97(g)), or as an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. We enclose copies of any cited foreign documents. Copies of the U.S. references are not enclosed.

This Information Disclosure Statement is being submitted:

- ☒ 1. Within three months of the filing date of a national application other than a continued prosecution application under 37 C.F.R. § 1.53(d), or within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or before the mailing date of a first Office action on the merits, and therefore, Applicant believes no fee is required;
- ☐ 2. After the period specified in paragraph (1) above of this section, but before the mailing date of either a final action under 37 C.F.R. § 1.113, or a notice of allowance under 37 C.F.R. § 1.311, whichever occurs first, and is accompanied by either:
- ☐ a. A statement that:
- (i) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months before the filing of the information disclosure statement; or
 - (ii) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months before the filing of the information disclosure statement;
- ☐ b. The fee for filing an Information Disclosure Statement under 37 C.F.R. § 1.17(p) is \$180.00.

- ☐ 3. After the period specified in paragraph (2) of this section, but on or before payment of the issue fee and is accompanied by:
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- ☐ b. A petition requesting consideration of the information disclosure statement; and
- ☐ c. The petition fee set forth in 37 C.F.R. § 1.17(i).

Applicant would appreciate the examiner initialing and signing a copy of attached Form PTO-1449 indicating that he or she considered the information and made the information of record.

If the transmittal letter is separated from this document and the PTO determines that an extension or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-2638.

Serial No. To be assigned

10/560795

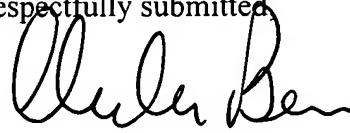
PATENT

Docket No. 72998-013700

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Authorization is hereby given to charge any fees due, or credit any overpayment of fees,
to Deposit Account No. 50-2638.

Respectfully submitted,



Charles Berman

Reg. No. 29,249

Date: December 14, 2005

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| Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i> | | | | <i>Complete if Known</i> | |
| | | | | Application Number | To be assigned 10/560795 |
| | | | | Filing Date | December 14, 2005 |
| | | | | First Named Inventor | CORTEN, Gustave Paul |
| | | | | Art Unit | To be assigned |
| | | | | Examiner Name | To be assigned |
| Sheet | 1 | of | 2 | Attorney Docket Number | 72998-013700 |

[illegible]

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------------|-----------------------|--|--------------------------------|--|---|----------------|
| Examiner Initials ¹ | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ Number 4 Kind Code ⁵ (if known) | | | | |
| | | WO 2004/011799 | 02-05-2004 | CORTEN GUSTAVE PAUL; SCHAAK PIETER | | Yes |
| | | DE 10137272A | 02-27-2003 | WOBLEN, ALOYS | Not Attached | |
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| Examiner Signature | Date Considered |
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.**

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| | | | | 10/2560795 | |
| | | | | Application Number | To be assigned |
| | | | | Filing Date | December 14, 2005 |
| | | | | First Named Inventor | CORTEN, Gustave Paul |
| | | | | Art Unit | To be assigned |
| | | | | Examiner Name | To be assigned |
| Sheet | 2 | of | 2 | Attorney Docket Number | 72998-013700/US |

NON PATENT LITERATURE DOCUMENTS

| Examiner Initials | Cite No. 1 | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|----------------------|---------------|---|----------------|
| | | STEINBUCH M ET AL: "OPTIMAL CONTROL OF WIND POWER PLANTS" JOURNAL OF WIND ENGINEERING AND INDUSTRIAL AERODYNAMICS, XX, XX, vol. 27, 1988, pages 237-246, XP008023141 cited in the application page 244 - page 245. (NOT ATTACHED) | |
| | | PARKIN P; HOLM RICHARD; MEDICI D: "The application of PIV to the Wake of a wind turbine in yaw" 9 September 2001 (2001-09-09), DLR MITTEILUNG, XP008036399 the whole document. (NOT ATTACHED) | |
| | | MILBORROW D J: "THE PERFORMANCE OF ARRAYS OF WIND TURBINES" JOURNAL OF INDUSTRIAL AERODYNAMICS, ELSEVIER SCIENTIFIC PUBLISHING CO., AMSTERDAM, NL, vol. 5, May 1980 (1980-05), pages 403-430, XP008023145 Amsterdam ISSN: 0304-390B Bladzijden 425-6, "Conclusions." (NOT ATTACHED) | |
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|-----------------------|--------------------|
| Examiner Signature | Date Considered |
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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